

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Steven Kirk Donoho et al. : Group Art Unit Not yet assigned
Serial No.: Not yet assigned : Examiner: Not Yet Assigned
Filed: October 15, 2003 :
For: METHOD AND SYSTEM FOR THE PROTECTION OF BROKER AND INVESTOR
RELATIONSHIPS, ACCOUNTS AND TRANSACTIONS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Arlington, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. § 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. Copies are provided. It is respectfully requested that the documents be expressly considered and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

AUTHORIZATION

No fee is required. The Commissioner is hereby authorized to charge any additional fees which may be required for this submission, or credit any overpayment to deposit account no. 50-0436.

Respectfully submitted,
PEPPER HAMILTON LLP



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

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| Application Number | Not yet assigned |
| Filing Date | October 15.2003 |
| First Named Inventor | Steven Kirk Donoho |
| Art Unit | Not yet assigned |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | 124256.0401 |

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner /Edward Chang/ Date 04/15/2008

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| Group Art Unit | Not yet assigned |
| Examiner Name | Not yet assigned |
| Attorney Docket Number | 124256.0401 |

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

| | | | |
|-----------------------|----------------|--------------------|------------|
| Examiner Signature | /Edward Chang/ | Date Considered | 04/15/2008 |
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